## EXHIBIT 1

1:04-cv-03757-SAS Liu v. Credit Suisse First Boston Corp.

Shira A. Scheindlin, presiding **Date filed:** 05/18/2004 **Date terminated:** 07/28/2005 **Date of last filing:** 08/03/2006

## History

Doc. No.	Dates	Description		
	Filed & Entered: 05/18/2004	Case Accepted as Related		
	Docket Text: CASE ACCEPTED AS RELATED TO MDL 1554. (jjm, )			
	Filed & Entered: 05/18/2004 Case Designation			
	Docket Text: Magistrate Judge Douglas F. Eaton is so designated. (jjm, )			
	Filed & Entered: 05/18/2004 Remark			
	Docket Text: DOCUMENT RECEIVED FROM SOUTHERN DISTRICT OF FLORIDA on 08/12/2003, Doc#5164 CERTIFIED TRUE COPY OF MDL TRANSFER ORDER FROM THE MDL PANELthat pursuant, to 28 U.S.C. 1407, the actions listed on the attached schedule A and pending in Southern District of Florida and the same hereby are, transferred to the Southern District of New York, with the consent of that court, assigned to the Honorable Shira A. Scheindlin for coordinated or consolidated pretrial proceedings with the actions pending in that district and listed on Schedule A that plaintiffs' amended motion for inclusion of Larry L. Cannon v. Citigroup GlobalMarkets, Inc., et al., D. Colorado, C.A. No. 1:03-388 in MDL-1554 proceedings is deemed withdrawn. (dcap) (Entered: 11/22/2003). Original Document filed in 21-mc-92. (sac, )			
1	Filed & Entered: 05/18/2004 MDL Conditional Transfer In Order			
	Docket Text: CERTIFIED TRUE COPY OF CONDITIONAL MDL TRANSFER IN ORDER FROM THE MDL PANEL transferring this action from the United States District Court - Southern District of Florida Case Number: 1:03-cv-20459, MDL Number: MDL 1554, M Number: M 21-92, to the United States District Court - Southern District of New York. (Signed by Judge MDL Panel on 8/12/03) (jjm, )			
2				
	Docket Text: OPINION AND ORDER #90122; for the reasons discussed above, Plaintiffs' motion for leave to amend their complaitn is granted with respect to all claims except those arising from transactions in Lante and New Focus stock. Plaintiffs' motion to add Robert W. Tenney as Lead Plaintiff is granted. Plaintiffs are directed to submit a revised proposed SAC, consistent with this Opinion, by 6/4/04. The Clerk is directed to close these motions. (Signed by Judge Shira A. Scheindlin on 5/21/04) (sac, )			
3	3 <i>Filed</i> : 06/03/2004 Memorandum & Opinion <i>Entered</i> : 06/07/2004			
	Docket Text: AMENDED OPINION AND ORDER #90122; Plaintiffs' motion for leave to amend complaint is granted with respect to all claims except those arising from transactions in Lante and Focus stock. Plaintiffs' motion to add Robert W. Tenney as lead plaintiff is granted. Plaintiffs are d submit a revised proposed SAC, consistent with this Opinion, by 6/4/04. The Clerk is directed to c motions. (Signed by Judge Shira A. Scheindlin on 6/3/04) (sac, )			
4	Filed: 06/08/2004 Entered: 06/10/2004	Memorandum & Opinion		
	Docket Text: SECOND AMENDED OPINION AND ORDER #90122; Plaintiffs' motion for leave to ame their complaint is granted with respect to all claims except those arising from transactions in Lante and No Focus stock. Plaintiffs' motion to add Robert W. Tenney as lead plaintiff is granted. Plaintiffs are directed			

9/22/2016	Case 1:15-cv-07194-K <b>শা</b> শ দেশি <b>68<sup>1</sup> History ভিপ্ত 19</b> 1 <b>9</b> পুর্বাচ Page 3 of 12			
	submit a revised proposed SAC, consistent with this Opinion, by 6/4/04. The Clerk is directed to close these motions. (Signed by Judge Shira A. Scheindlin on 6/4/04) (sac, )			
8	Filed: 06/23/2004 Motion to Approve			
	Entered: 07/19/2004			
	<i>Terminated:</i> 03/25/2005			
	Docket Text: MOTION (FILED ON SERVICE DATE); for an order, for approval of his selection of Lead Counsel of John Watts, of the Firm: Yearout & Traylor, P.C., as Lead Counsel. Document filed by Robert W. Tenney. (sac, )			
5	Filed:       07/08/2004       Order         Entered:       07/12/2004			
	Docket Text: ORDER: attorney John Watts, of the firm Yearout & Traylor, P.C., is hereby appointed as lead counsel. (Signed by Judge Shira A. Scheindlin on 7/2/04) (db, )			
	Filed: 07/16/2004 Set Answer Due Date for Amended Pleadings Entered: 07/19/2004			
	Docket Text: Set Answer Due Date re: [7] Amended Complaint,, as to Credit Suisse First Boston answer due on 7/26/2004; Credit Suisse First Boston (USA), Inc. answer due on 7/26/2004; Credit Suisse First Boston Corp. answer due on 7/26/2004; Credit Suisse Group answer due on 7/26/2004; Efficient Networks, Inc. answer due on 7/26/2004; Lightspan Partnership, Inc. answer due on 7/26/2004; Tanning Technology Corp. answer due on 7/26/2004; Tumbleweed Communications Corp. answer due on 7/26/2004; eMachines, Inc. answer due on 7/26/2004. (sac, )			
6	Filed: 07/16/2004 Order Entered: 07/19/2004			
	Docket Text: ORDER; The Court, having considered plaintiffs' Revised Second Amended Complaint and having received no objections from any defendant and pursuant to the Court's Opinion and Order dated 6/4/04, directs the Clerk to accept the attached Revised Second Amended Complaint for filing. (Signed by Judge Shira A. Scheindlin on 6/16/04) (sac, )			
7	Filed:         07/16/2004         Amended Complaint           Entered:         07/19/2004			
	Docket Text: REVISED SECOND AMENDED COMPLAINT against eMachines, Inc., Credit Suisse First Boston, Credit Suisse First Boston (USA), Inc., Credit Suisse First Boston Corp., Credit Suisse Group, Efficient Networks, Inc., Lightspan Partnership, Inc., Larry G. Tanning, Tumbleweed Communications CorpDocument filed by Antoine Kasprzak, Sharon Brewer, Henry Ciesielski, Ellen Dulberger, Mary Gorton, Ed Grier, LLoyd Hinn, Carla Kelly, Amy Liu, Craig Mason, Jennie Papuzza, Robert Tate, Robert Tenney, Frank Turk, Stanley Warren.(sac, )			
9	Filed:         07/30/2004         Stipulation and Order           Entered:         08/02/2004			
	Docket Text: STIPULATION AND ORDER regarding briefing schedule on motions to dismiss, as further set forth in this document. (Signed by Judge Shira A. Scheindlin on 7/27/07) (cd, )			
10	Filed: 08/04/2004 Motion for Leave to File Document			
	Entered: 08/06/2004			
	<i>Terminated:</i> 09/09/2004			
	Docket Text: MOTION (FILED ON SERVICE DATE) for Leave to File an Amended Complaint. Document filed by Antoine Kasprzak, Amy Liu. (cd, )			
11	Filed:         08/06/2004         Order of Dismissal           Entered:         08/09/2004			
	Docket Text: ORDER OF DISMISSAL, Pursuant to 41(a)(1) of the Fed. R. of Civ. P., Plainitffs' Notice of Dismissal of Lloyd Hinn is hereby accepted and he is deemed to be dismissed without prejudice from this case, all other claims and all other Plaintiffs being unaffected by this dismissal. (Signed by Judge Shira A. Scheindlin on 8/4/04) (dt, )			

<i>312212</i> 010 -	Case 1:15-cv-0/194-Kappy Groven The Trish Heaving 1/2 3/196 Page 4 of 12			
<u>12</u>	Filed: 08/06/2004 Notice of Voluntary Dismissal - Signed Entered: 08/09/2004			
	Docket Text: NOTICE of Voluntary Dismissal pursuant to Rule 41(a)(1) of the FRCP on behalf of Lloyd Hinn as follows: no dft has filed an answer or a motion for summary judgment; Sharon Brewer will continue as a proposed class representative for the Tumbleweed class; purs. to Rule 41(a) the intention of this filing is that the dismissal will be without prejudice as Mr. Hinn has not previously brought any similar claims against any of the dfts in the present case; no other claims or plaintiffs will be affected by the dismissal of Mr. Hinn's claims. (Signed by Judge Shira A. Scheindlin on 8/6/04) (dle, )			
<u>13</u>	Filed & Entered: 09/09/2004 Order on Motion for Leave to File Document			
	Docket Text: ORDER granting [10] Motion for Leave to File Document ORDER granting plaintiffs' mot for leave to amend their Second Amended Complaint and setting new briefing schedule for motion to dismiss. (Signed by Judge Shira A. Scheindlin on 9/9/2004) (Huth, Karl)			
	Filed: 09/14/2004 Set/Reset Scheduling Order Deadlines Entered: 09/20/2004			
	Docket Text: Set/Reset Scheduling Order Deadlines: dfts Motion to dismiss due by 9/30/2004. Responses due by 11/29/2004 Replies due by 12/20/2004. (pl, )			
14	Filed:         09/14/2004         Order           Entered:         09/20/2004			
	Docket Text: ORDER; granting re: [10] MOTION (FILED ON SERVICE DATE) for Leave to File. filed by Amy Liu, Antoine Kasprzak in the manner that is set forth in this Order; dfts may submit their motion to dismiss on or before 9/30/04; plaitniffs may submit an opposition brief by 11/29/04; and dfts may reply by 12/20/04. (Signed by Judge Shira A. Scheindlin on 9/9/04) (pl, )			
	Filed: 09/30/2004 Set Answer Due Date for Amended Pleadings Entered: 10/04/2004			
	Docket Text: Set Answer Due Date re: [18] Third Amended Complaint as to Credit Suisse First Boston answer due on 10/11/2004; Credit Suisse First Boston (USA), Inc. answer due on 10/11/2004; Credit Suisse First Boston Corp. answer due on 10/11/2004; Credit Suisse Group answer due on 10/11/2004; Efficient Networks, Inc. answer due on 10/11/2004; Lightspan Partnership, Inc. answer due on 10/11/2004; Tanning Technology Corp. answer due on 10/11/2004; Tumbleweed Communications Corp. answer due on 10/11/2004; eMachines, Inc. answer due on 10/11/2004. (sac, )			
<u>15</u>	Filed & Entered:         09/30/2004   Motion to Dismiss           Terminated:         04/01/2005			
	Docket Text: MOTION to Dismiss Notice of Motion to Dismiss the Third Amended Complaint. Document filed by Credit Suisse First Boston, Credit Suisse First Boston (USA), Inc., Credit Suisse First Boston Corp., Credit Suisse Group. (Vigeland, Peter)			
<u>16</u>	Filed & Entered: 09/30/2004 Memorandum of Law in Support of Motion			
	Docket Text: MEMORANDUM OF LAW in Support re: [15] MOTION to Dismiss Notice of Motion to Dismiss the Third Amended Complaint Document filed by Credit Suisse First Boston, Credit Suisse First Boston (USA), Inc., Credit Suisse First Boston Corp., Credit Suisse Group. (Vigeland, Peter)			
<u>17</u>	Filed & Entered: 09/30/2004 Declaration in Support of Motion			
	Docket Text: DECLARATION of Exhibit Book and Declaration of Robrt W. Trenchard in Support of the Motion to Dismiss The Third Amended Complaint Vol.1 & Vol.2 in Support re: [15] MOTION to Dismiss Notice of Motion to Dismiss the Third Amended Complaint Document filed by Credit Suisse First Boston, Credit Suisse First Boston (USA), Inc., Credit Suisse First Boston Corp., Credit Suisse Group. (Attachments: # (1))(Vigeland, Peter)			
18	Filed:         09/30/2004         Amended Complaint           Entered:         10/04/2004			
	Docket Text: THIRD AMENDED COMPLAINT amending [7] Amended Complaint,, against Credit Suisse			

First Boston, Credit Suisse First Boston (USA), Inc., Credit Suisse First Boston Corp., Credit Suisse Group, Efficient Networks, Inc., Lightspan Partnership, Inc., Tanning Technology Corp., Tumbleweed Communications Corp., eMachines, Inc., Document filed by Sharon Brewer, Henry Ciesielski, Ellen Dulberger, Mary Gorton, Ed Grier, LLoyd Hinn, Antoine Kasprzak, Carla Kelly, Amy Liu, Craig Mason, Jennie Papuzza, Robert Tate, Robert Tenney, Frank Turk, Stanley Warren. Related document: [7] Amended Complaint,, filed by Mary Gorton, Robert Tate, Amy Liu, Robert Tenney, Carla Kelly, Henry Ciesielski, Ed Grier, Frank Turk, Jennie Papuzza, Stanley Warren, Ellen Dulberger, Craig Mason, Sharon Brewer, LLoyd Hinn, Antoine Kasprzak.(sac, )

19 *Filed*: 09/30/2004 Motion to Dismiss

*Entered:* 10/04/2004 *Terminated:* 04/01/2005

Docket Text: MOTION (FILED ON SERVICE DATE) for an order, to dismiss the Third Amended Complaint. Document filed by Efficient Networks, Inc., Lightspan Partnership, Inc., Tanning Technology Corp., Tumbleweed Communications Corp., eMachines, Inc.. Return Date set for 12/20/2004 at 10:00 AM... Received in the night deposit box on 9/30/04 at 5:49 P.M..(sac, )

20 Filed: 09/30/2004 Memorandum of Law in Support of Motion

Entered: 10/04/2004

Docket Text: MEMORANDUM OF LAW in Support re: [19] MOTION (FILED ON SERVICE DATE) for an order, to dismiss the Third Amended Complaint. Document filed by Efficient Networks, Inc., Lightspan Partnership, Inc., Tanning Technology Corp., Tumbleweed Communications Corp., eMachines, Inc.. Received in the night deposit box on 9/30/04 at 5:49 P.M..(sac, )

21 *Filed:* 09/30/2004 Declaration in Support of Motion 10/04/2004

Docket Text: DECLARATION of Daniel M. Perry in Support re: [19] MOTION (FILED ON SERVICE DATE) for an order, to dismiss the Third Amended Complaint. Document filed by Efficient Networks, Inc., Lightspan Partnership, Inc., Tanning Technology Corp., Tumbleweed Communications Corp., eMachines, Inc.. Received in the night deposit box on 9/30/04 at 5:49 P.M.. (sac, )

22 | Filed: 09/30/2004 | Motion to Dismiss

*Entered:* 10/04/2004 *Terminated:* 04/01/2005

Docket Text: MOTION (FILED ON SERVICE DATE) to Dismiss and INCORPORATED MEMORANDUM OF LAW. Document filed by eMachines, Inc.. Received in the night deposit box on 9/30/04 at 5:49 P.M.. (sac, )

23 *Filed*: 09/30/2004 Remark

Entered: 10/04/2004

Docket Text: REQUEST FOR JUDICIAL NOTICE. Document filed by eMachines, Inc.. Received in the night deposit box on 9/30/04 at 5:49 P.M.. (sac, )

24 | Filed: 09/30/2004 | Motion to Dismiss

| Entered: 10/04/2004 | Terminated: 04/01/2005

Docket Text: MOTION (FILED ON SERVICE DATE) for an order, to dismiss all claims against it because the only plaintiff who claims to have purchased its stock lacks standing. Document filed by Tanning Technology Corp.. Received in the night deposit box on 9/30/04 at 5:49 P.M.. (sac, )

25 *Filed:* 09/30/2004 Declaration in Support of Motion

*Entered:* 10/04/2004

Docket Text: DECLARATION of Mitchell E. Herr in Support re: [24] MOTION (FILED ON SERVICE DATE) for an order, to dismiss all claims against it because the only plaintiff who claims to have purchased its stock lacks standing. Document filed by Tanning Technology Corp.. (sac, )

Entered:

10/18/2004

9/22/2016	Case 1:15-cv-07194-K <b>ም</b> ጆሃ ዊእለቻዊ ፲፱፻፵፻ም 68 <sup>1</sup> -፲፱፻፹፰ <mark>፲</mark> ፱፻፹፰
	Docket Text: MEMORANDUM OF LAW in Support re: [31] MOTION (FILED ON SERVICE DATE) to Dismiss. Document filed by Credit Suisse First Boston, Credit Suisse Group. (jco, )
34	Filed: 10/08/2004 Declaration in Support of Motion Entered: 10/18/2004
	Docket Text: DECLARATION of Beatrice Fischer in Support re: [31] MOTION (FILED ON SERVICE DATE) to Dismiss. (jco, )
30	Filed: 10/12/2004 Declaration in Support of Motion Entered: 10/18/2004
	Docket Text: DECLARATION of Beatrice Fischer in Support re: [26] MOTION (FILED ON SERVICE DATE) to Dismiss. (jco, )
	Filed: 11/18/2004 Affidavit Entered: 11/22/2004
	Docket Text: AFFIDAVIT OF TRANSLATION of Kristin Rebien. ORIGINAL DOCUMENT FILED IN 21MC92, DOC#5384. (sac, )
35	Filed: 11/30/2004 Response in Opposition to Motion Entered: 12/07/2004
	Docket Text: RESPONSE in Opposition re: [19] MOTION (FILED ON SERVICE DATE) to Dismiss., [22] MOTION (FILED ON SERVICE DATE) to Dismiss., [15] MOTION to Dismiss Notice of Motion to Dismiss the Third Amended Complaint., [24] MOTION (FILED ON SERVICE DATE) to Dismiss., [31] MOTION (FILED ON SERVICE DATE) to Dismiss., [26] MOTION (FILED ON SERVICE DATE) to Dismiss Document filed by Antoine Kasprzak, Amy Liu et al. (cd, )
	Filed:       12/03/2004   Response         Entered:       12/07/2004
	Docket Text: SUPPLEMENTAL RESPONSE in opposition to Defendants' Motions to Dismiss. Document filed by Plaintiffs'; Amy Liu, Antoine Kasprazak, robert Tenney, Robert Tate, Mary Gorton, Carla Kelly, Henry Ciesielski, Ed Grier, Frank Turk, Jennie Papuzza, Stanley Warren, Ellen Dulberger, Graig Mason, Sharon Brewer. Original Document Filed in 21MC92, Doc#5386. (sac, )
	Filed: 12/03/2004 Case No Longer Designated ECF Entered: 12/07/2004
	Docket Text: Case Not Designated ECF. Any Document Filed In This Action Electronically Must Have Original Document Filed As Of That Date. Attorneys Must Submit Documents. (sac, )
	Filed: 12/20/2004 Reply Memorandum of Law in Support of Motion Entered: 01/10/2005
	Docket Text: REPLY MEMORANDUM OF LAW in Support re: [22] MOTION (FILED ON SERVICE DATE) to Dismiss. Document filed by eMachines, Inc Original Document filed in 21mc92, Doc#5392. (sae, )
	Filed: 12/20/2004 Reply Memorandum of Law in Support of Motion 01/10/2005
	Docket Text: REPLY MEMORANDUM OF LAW in Support re: [19] MOTION (FILED ON SERVICE DATE) for an order, to dismiss the Third Amended Complaint. Document filed by Efficient Networks, Inc., Lightspan Partnership, Inc., Tanning Technology Corp., Tumbleweed Communications Corp., eMachines, Inc Original Document filed in 21mc92, Doc#5393. (sac, )
	Filed: 12/20/2004 Reply Memorandum of Law in Support of Motion 01/10/2005
	Docket Text: REPLY MEMORANDUM OF LAW in Support re: [24] MOTION (FILED ON SERVICE DATE) for an order, to dismiss all claims against it because the only plaintiff who claims to have purchased its stock lacks standing. Document filed by Tanning Technology Corp Original Document filed in 21mc92, Doc#5394. (sac, )

9/22/2016 12/27/2004 Reply Memorandum of Law in Support of Motion Filed: Entered: 01/10/2005 Docket Text: REPLY MEMORANDUM OF LAW in Support re: [26] MOTION (FILED ON SERVICE DATE) for an order, dismissing the Third Amended Complaint in its entirety for failure to state a claim on which relief can be granted pursuant to Federal Rule of Civil Procedure 12(b)(6) and for failure to meet the requirements of the Private Securities Litigation Reform Act of 1995. Document filed by Credit Suisse First Boston, Credit Suisse First Boston (USA), Inc., Credit Suisse First Boston Corp., Credit Suisse Group. Document filed by Ellen Dulberger, Credit Suisse First Boston (USA), Inc., Credit Suisse First Boston, Credit Suisse Group, Credit Suisse First Boston Corp.. Original Document filed in 21mc92, Doc#5396. (sac, ) Filed: 12/27/2004 Reply Memorandum of Law in Support of Motion Entered: 01/10/2005 Docket Text: REPLY MEMORANDUM OF LAW in Support re: [31] MOTION (FILED ON SERVICE DATE) to Dismiss. Document filed by Credit Suisse First Boston (USA), Inc., Credit Suisse First Boston, Credit Suisse Group, Credit Suisse First Boston Corp.. Original Documetr filed in 21mc92, Doc#5397. (sac, ) Filed: 12/27/2004 Declaration in Support of Motion Entered: 01/10/2005 Docket Text: DECLARATION of Martin Taufer in Support re: [26] MOTION (FILED ON SERVICE DATE) for an order, dismissing the Third Amended Complaint in its entirety for failure to state a claim on which relief can be granted pursuant to Federal Rule of Civil Procedure 12(b)(6) and for failure to meet the requirements of the Private Securities Litigation Reform Act of 1995. Document filed by Credit Suisse First Boston, Credit Suisse First Boston (USA), Inc., Credit Suisse First Boston Corp., Credit Suisse Group. Document filed by Credit Suisse First Boston (USA), Inc., Credit Suisse First Boston, Credit Suisse Group, Credit Suisse First Boston Corp.. Original Document filed in 21mc92, Doc#5398. (sac, ) 03/25/2005 Motion to Amend/Correct Filed & Entered: Terminated: 03/25/2005 Docket Text: MOTION for an order, for leave to amend, or in the alternative; for an order, for leave to intervene. Document filed by Robert Tenney, Robert Tate, Mary Gorton, Carla Kelly, Henry Ciesielski, Ed Grier, Frank Turk, Jennie Papuzza, Stanley Warren, Ellen Dulberger, Craig Mason, Sharon Brewer, LLoyd Hinn, Amy Liu, Robert W. Tenney, Antoine Kasprzak. Original Document filed in 21mc92, Doc#5426. (sac,) Filed: 04/01/2005 Memorandum & Opinion 36 Entered: 04/04/2005 Docket Text: MEMORANDUM AND OPINION # 91449 for the reasons set forth in this opinion, plaintiffs' third amended complaint is dismissed with prejudice. A conference to discuss pending motions for sanctions is scheduled for 4:30 p.m. on 4/12/05 in courtroom 15C. the clerk is directed to close these motions (nos. 15,19,22,24,26, and 31). (Signed by Judge Shira A. Scheindlin on 3/31/05) (dle, ) Filed: 04/15/2005 Motion to Vacate 37 Entered: 04/22/2005 Terminated: 04/15/2005 Docket Text: MOTION (FILED ON SERVICE DATE) Purs. to Rule 59(e) to Alter, Amend, or Vacate [36] Memorandum & Opinion, dated 4/1/05, Dismissing The Plaintiffs' Complaint. Document filed by Robert Tenney, Robert Tate, Mary Gorton, Carla Kelly, Henry Ciesielski, Ed Grier, Frank Turk, Jennie Papuzza, Stanley Warren, Ellen Dulberger, Craig Mason, Sharon Brewer, LLoyd Hinn, Amy Liu, John T. Kernan, Lightspan Partnership, Inc., Robert W. Tenney, Antoine Kasprzak; w/ attached Memorandum of Law in Support. (ae, ) 38 Filed & Entered: 04/29/2005 Transcript Docket Text: TRANSCRIPT of proceedings held on 4/12/05 before Judge Shira A. Scheindlin. (mo, )

9/22/2016	<sup>16</sup> Case 1:15-cv-07194-K <b>ም</b> ጆ <b>የታ</b> ጀኒኒ የድርስ ነተር የ	81-Hist中间的9729/416 Page 9 of 12		
	Filed: 05/16/2005   Memorandum & 0	Opinion		
	Entered: 05/17/2005			
	Docket Text: OPINION AND ORDER #91628; re: pladiscussion contained in this Opinion supplements the transaction causation and loss causation. For the reason plaintiffs' Third Amended Complaint is dismissed. Decontention that sanctions should be granted, not to exceed Plaintiffs' response, if any, is due 6/6/05. (Signed by J. Document filed in 21mc92, Doc#5444. (sac, )	4/1/05 Opinion with respect to its discussion of ons stated in the Opinion and my April 1 Opinion, fendants may submit letters in support of their seed a total of ten pages for all defendants, by 5/27/05.		
	<i>Filed:</i> 06/06/2005 Endorsed Letter <i>Entered:</i> 06/07/2005			
	Docket Text: ENDORSED LETTER addressed to Jud dated 5/27/05 re: defendants request is GRANTED. T further order of this Court. (Signed by Judge Shira A.	he Rule 11 briefing schedule is postponed pending		
	Filed:       06/10/2005       Notice of Appeal         Entered:       06/23/2005         Terminated:       08/03/2006			
	Docket Text: NOTICE OF APPEAL from Memorando Document filed by Robert Tenney, Robert Tate, Mary Frank Turk, Jennie Papuzza, Stanley Warren, Ellen Do Amy Liu, Robert W. Tenney, Antoine Kasprzak. Filin Document Relates to: 21mc92 (sac, )	Gorton, Carla Kelly, Henry Ciesielski, Ed Grier, alberger, Craig Mason, Sharon Brewer, LLoyd Hinn,		
	Filed:       06/16/2005       Transmission of N         Entered:       06/24/2005         Terminated:       08/03/2006	otice of Appeal and Docket Sheet to USCA		
	Docket Text: Transmission of Notice of Appeal and Certified Copy of Docket Sheet to US Court of Appeals re: [40] Notice of Appeal. (tp, )			
	Filed:       06/16/2005       Transmission of N         Entered:       06/24/2005         Terminated:       08/03/2006	Totice of Appeal to District Judge		
	Docket Text: Transmission of Notice of Appeal to the	District Judge re: [40] Notice of Appeal. (tp, )		
	Filed: 06/24/2005 Notice (Other) Entered: 07/05/2005			
	Docket Text: PLAINTIFFS' NOTICE regarding Trans Rules of Appellate Procedure. Document filed by Rob Henry Ciesielski, Ed Grier, Frank Turk, Jennie Papuzz Sharon Brewer, LLoyd Hinn, Amy Liu, Robert W. Ter 21MC92, Doc#5464. (sac, )	pert Tenney, Robert Tate, Mary Gorton, Carla Kelly, za, Stanley Warren, Ellen Dulberger, Craig Mason,		
	Filed:       06/28/2005       Memorandum & 0         Entered:       07/01/2005	Opinion		
	Docket Text: OPINION AND ORDER #91791; re: plaintiffs' second motion for reconsideration is denied in its entirety. The Clerk is directed to close this motion and this case. (Signed by Judge Shira A. Scheindlin on 6/27/05) Original Document filed in 21MC92, Doc#5463.(sac, )			
	Filed:       07/26/2005       Response         Entered:       07/29/2005			
	Docket Text: RESPONSE in Opposition to Rule 11 Sa Tate, Mary Gorton, Carla Kelly, Henry Ciesielski, Ed Ellen Dulberger, Craig Mason, Sharon Brewer, LLoyd Document filed in 21MC92, Doc#5468.(sac, )	Grier, Frank Turk, Jennie Papuzza, Stanley Warren,		
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08/25/2005 Transmission of Notice of Appeal and Docket Sheet to USCA

08/29/2005

Filed:

Entered:

,	Terminated:	08/03/2006		
	Docket Text: Transn	nission of Notic	ee of Appeal and Certified Copy of Docket Sheet to US Court of Appeals	
	re: [45] Notice of A	ppeal. (nd, ).		
45	Filed:		Notice of Interlocutory Appeal	
	Entered: Terminated:	08/29/2005 08/03/2006		
	Docket Text: NOTICE OF APPEAL from Memorandum & Opinion,. Document filed by Efficient Networks, Inc., eMachines, Inc., Lightspan Partnership, Inc., Tanning Technology Corp., Tumbleweed			
	1 1		gntspan Partnersnip, Inc., Tanning Technology Corp., Tumbleweed 255.00, receipt number E 553604. Copies of Notice of Interlocutory	
	1		ecord: Yearout & Traylor, P.C. and Wilmer, Cutler & Pickering. (nd, ).	
	Filed:		Transmission of Notice of Appeal to District Judge	
	Entered:	08/29/2005		
	Terminated:	08/03/2006		
Docket Text: Transmission of Notice of Appeal to the District Judge re: [45] Notice of Appeal. (nd,				
	Filed & Entered:	08/30/2005	Transmission of Notice of Appeal to District Judge	
	Terminated:	08/03/2006		
	Docket Text: Transn	re of Appeal to the District Judge re: [46] Notice of Appeal. (nd, ).		
Filed & Entered: 08/30/2005 Transmission of Notice of Appeal and Docket Sheet to U				
	Terminated:	08/03/2006		
	Docket Text: Transmission of Notice of Appeal and Certified Copy of Docket Sheet to US Court of Appeals			
	re: [46] Notice of A	ppeal,,. (nd, ).		
46	Filed & Entered:		Notice of Interlocutory Appeal	
	Terminated:	08/03/2006		
	Docket Text: NOTICE OF APPEAL from Memorandum & Opinion,. Document filed by Credit Suisse First Boston (USA), Inc., Credit Suisse First Boston, Credit Suisse Group, Credit Suisse First Boston Corp Filing fee \$ 255.00, receipt number E 553843. Copies of Notice of Interlocutory Appeal mailed to Attorney(s) of Record: Yearout & Traylor, P.C., Wilmer, Cutler & Pickering, Sheppard, Mullin, Richter & Hampton LLP, Holland & Knight LLP, Milbank, Tweed, Hadley & McCloy, L.L.P., Munger, Tolles & Olson LLP. (nd, ).			
47	Filed:			
	Entered:	09/07/2005 09/08/2005		
	Entered:  Docket Text: LETTH requesting an award 15 U.S.C. 78u-4(c).	09/08/2005 ER addressed to l of sanctions un Document filed		
	Entered:  Docket Text: LETTH requesting an award 15 U.S.C. 78u-4(c).	09/08/2005 ER addressed to lof sanctions un Document filed o, Credit Suisse	Judge Shira A. Scheindlin from Peter K. Vigeland dated 7/12/05 re: nder Fed. R. Civ. P. 11 and the Private Securities Litigation Reform Act, d by Credit Suisse First Boston (USA), Inc., Credit Suisse First Boston,	
	Entered:  Docket Text: LETTH requesting an award 15 U.S.C. 78u-4(c). Credit Suisse Group Filed & Entered: Terminated:  Docket Text: USCA Tate,, Amy Liu,, Ro Stanley Warren,, Ell Antoine Kasprzak, USCA Tate, Amy Liu, Ro Stanley Warren,	09/08/2005 ER addressed to lof sanctions un Document filed o, Credit Suisse 09/13/2005 08/03/2006 SCHEDULING OBERT Tenney, Callen Dulberger, CUSCA Case Number 1	Judge Shira A. Scheindlin from Peter K. Vigeland dated 7/12/05 re: nder Fed. R. Civ. P. 11 and the Private Securities Litigation Reform Act, I by Credit Suisse First Boston (USA), Inc., Credit Suisse First Boston, First Boston Corp(sac, )	
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48	Entered:  Docket Text: LETTH requesting an award 15 U.S.C. 78u-4(c). Credit Suisse Group Filed & Entered: Terminated:  Docket Text: USCA Tate,, Amy Liu,, Ro Stanley Warren,, Ell Antoine Kasprzak, URCOT Tokket Text: USCA Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Kasprzak, URCOT Tokket Text: USCA Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Kasprzak, URCOT Tokket Text: USCA Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Kasprzak, URCOT Tokket Text: USCA Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Kasprzak, URCOT Tokket Text: USCA Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Kasprzak, URCOT Tokket Text: USCA Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Kasprzak, URCOT Tokket Text: USCA Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Kasprzak, URCOT Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Kasprzak, URCOT Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Kasprzak, URCOT Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Kasprzak, URCOT Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Kasprzak, URCOT Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Kasprzak, URCOT Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Kasprzak, URCOT Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Kasprzak, URCOT Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Kasprzak, URCOT Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Kasprzak, URCOT Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Kasprzak, URCOT Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Kasprzak, URCOT Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Kasprzak, URCOT Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Kasprzak, URCOT Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Kasprzak, URCOT Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Kasprzak, URCOT Tate, Amy Liu, Ro Stanley Warren, Ell Antoine Warren,	09/08/2005 ER addressed to lof sanctions un Document filed of Credit Suisse 09/13/2005 08/03/2006 SCHEDULING SCHEDULING SETT Tenney, Calen Dulberger, Cusca Case Num 2/2005. Appella	Judge Shira A. Scheindlin from Peter K. Vigeland dated 7/12/05 re: nder Fed. R. Civ. P. 11 and the Private Securities Litigation Reform Act, d by Credit Suisse First Boston (USA), Inc., Credit Suisse First Boston, First Boston Corp(sac, )  USCA Scheduling Order  G ORDER as to [40] Notice of Appeal, filed by Mary Gorton,, Robert arla Kelly,, Henry Ciesielski,, Ed Grier,, Frank Turk,, Jennie Papuzza,, Craig Mason,, Sharon Brewer,, LLoyd Hinn,, Robert W. Tenney,, mber 05-3430-cv. Roseann B. MacKechnie, Clerk USCA. Appeal ant Brief due by 10/19/2005. Appellee Brief due by 11/18/2005. (nd, )	
48	Entered:  Docket Text: LETTI requesting an award 15 U.S.C. 78u-4(c). Credit Suisse Group Filed & Entered: Terminated:  Docket Text: USCA Tate,, Amy Liu,, Ro Stanley Warren,, Ell Antoine Kasprzak, URecord due by 10/12 Filed: Entered:  Docket Text: LETTI Counsel writes to re	09/08/2005 ER addressed to lof sanctions un Document filed of Credit Suisse 09/13/2005 08/03/2006 SCHEDULING SCHEDULING SCA Case Num 2/2005. Appella 10/17/2005 10/18/2005 ER addressed to equest an award	Judge Shira A. Scheindlin from Peter K. Vigeland dated 7/12/05 re: nder Fed. R. Civ. P. 11 and the Private Securities Litigation Reform Act, d by Credit Suisse First Boston (USA), Inc., Credit Suisse First Boston, First Boston Corp(sac, )  USCA Scheduling Order  G ORDER as to [40] Notice of Appeal, filed by Mary Gorton,, Robert arla Kelly,, Henry Ciesielski,, Ed Grier,, Frank Turk,, Jennie Papuzza,, Craig Mason,, Sharon Brewer,, LLoyd Hinn,, Robert W. Tenney,, mber 05-3430-cv. Roseann B. MacKechnie, Clerk USCA. Appeal ant Brief due by 10/19/2005. Appellee Brief due by 11/18/2005. (nd, )	

## 9/22/2016 Case 1:15-cv-07194-KPPNY DWEGFYERIPOSS-11Hispit/Edicong/12/3946 Page 12 of 12

	Docket Text: Transmission of USCA Mandate/Order to the District Judge re: [49] USCA Mandate,,,,. (nd, )		
	Filed:         08/03/2006         Costs Taxed           Entered:         08/04/2006		
	Docket Text: Costs Taxed as to [49] USCA Mandate,,,, USCA Case Number 05-3430-cv, 05-4759, 05-4760. in the amount of \$909.22. Docketed as Judgment #06, 1736 on 8/4/2006 in favor of the aforementioned Credit Suisse Defendants-Appellees against Plaintiff-Appellants-Cross-Appellees Robert W. Tenney et al. (nd, )		
49	Filed & Entered: 08/03/2006 USCA Mandate		
	Docket Text: MANDATE of USCA (Certified Copy) as to [45] Notice of Interlocutory Appeal, filed by Efficient Networks, Inc.,, eMachines, Inc.,, Lightspan Partnership, Inc.,, Tanning Technology Corp.,, Tumbleweed Communications Corp.,, [40] Notice of Appeal, filed by Mary Gorton,, Robert Tate,, Amy Liu,, Robert Tenney,, Carla Kelly,, Henry Ciesielski,, Ed Grier,, Frank Turk,, Jennie Papuzza,, Stanley Warren,, Ellen Dulberger,, Craig Mason,, Sharon Brewer,, LLoyd Hinn,, Robert W. Tenney,, Antoine Kasprzak,, [41] Amended Notice of Appeal,, filed by Mary Gorton,, Robert Tate,, Amy Liu,, Robert Tenney,, Carla Kelly,, Henry Ciesielski,, Ed Grier,, Frank Turk,, Jennie Papuzza,, Stanley Warren,, Ellen Dulberger,, Craig Mason,, Sharon Brewer,, LLoyd Hinn,, Robert W. Tenney,, Antoine Kasprzak,, [46]		

Notice of Interlocutory Appeal,, filed by Credit Suisse First Boston,, Credit Suisse Group,, Credit Suisse First Boston Corp.,, Credit Suisse First Boston (USA), Inc., USCA Case Number 05-3430-cv, 05-4759-cv,

05-4760-cv. Ordered, Adjudged and Decreed that the judgment of the District Court is AFFIRMED.

Roseann B. MacKechnie, Clerk USCA. Issued As Mandate: 7/21/2006. (nd, )

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